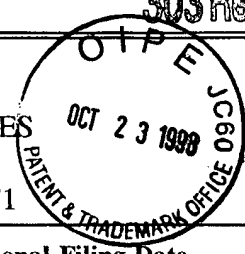


303 Rec'd PCT/PTO 23 OCT 1998



FORM PTO 1390  
(REV 5-93)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER  
263/PPIR2548US

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. §371

U.S. APPLICATION NO.  
(if known, see 37 CFR 1.5)  
09/155,076

International Application No.  
PCT/GB97/00796

International Filing Date  
March 21, 1997

Priority Date Claimed  
March 22, 1996 #2

Title of Invention

PEPTIDE FROM SOLUBLE FORM OF ACETYLCHOLINESTERASE, ACTIVE AS A CALCIUM CHANNEL MODULATOR

Applicant(s) For DO/EO/US

Susan Adele GREENFIELD and David John Talbutt VAUX

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. §371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☒ An executed oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)). **ATTACHMENT A**
9. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 10. to 13. below concern other document(s) or information included:

10. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
11. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. **ATTACHMENT B**
12. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.

13. ☐ Other items or information:

PVLP 0000093 09155076

65.00 OP

10/27/1998  
1 FC:254

COPY, EXAMINE, PCT, USPTO, 10/27/1998

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
AGCC

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)  
09/155,076

INTERNATIONAL APPLICATION NO.  
PCT/GB97/00796

ATTORNEY'S DOCKET NO.  
263/PPR2548US

14. [X] The following fees are submitted

CALCULATIONS

PTO USE ONLY

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

- ☐ Search Report has been prepared by the EPO or IPO..... \$ 930.00  
☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$1,070.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$65.00

Claims	Number Filed	Number Extra	Rate		
Total Claims	-20 =		X \$22.00	\$	
Independent Claims	- 3 =		X \$82.00	\$	
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$	

**TOTAL OF ABOVE CALCULATIONS =**

\$65.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)

\$

**SUBTOTAL =**

\$65.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

+

\$

**TOTAL NATIONAL FEE =**

\$65.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +

\$40.00

**TOTAL FEES ENCLOSED =**

\$105.00

Amount to be refunded

\$

Amount to be charged

\$

a. [X] A check in the amount of **\$105.00** to cover the above fees is enclosed. A duplicate copy of this form is enclosed.

b. ☐ Please charge my Deposit Account No. 23-0975 in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0975. A duplicate copy of this form is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

WENDEROTH, LIND & PONACK, L.L.P.  
2033 K St., N.W., Ste. 800  
Washington, D.C. 20006

Warren M. Cheek, Jr.  
SIGNATURE

Warren M. Cheek, Jr.  
NAME

33,367  
REGISTRATION NUMBER

October 23, 1998

[CHECK NO. 30315 ]  
[98-0967\*/WMC/263]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :

Susan Adele GREENFIELD et al. : ATTN: APPLICATION BRANCH

Serial No. NEW : Attorney Docket No.  
263/PPIR2548US

Filed September 21, 1998 :

PEPTIDE FROM SOLUBLE FORM OF  
ACETYLCHOLINESTERASE, ACTIVE AS  
A CALCIUM CHANNEL MODULATOR

THE COMMISSIONER IS AUTHORIZED  
TO SIGN ALL DECLARATIONS IN THE  
NAME OF THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

COVER LETTER FOR APPLICATION FILED  
WITHOUT ORIGINAL EXECUTED DECLARATION

Assistant Commissioner for Patents,  
Washington, D.C. 20231

Sir: The above-identified application has been submitted without an original executed oath or declaration pursuant to 37 CFR 1.41(c).

It is respectfully requested that this application be assigned a serial number and awarded a filing date pursuant to 37 CFR 1.53.

A duly executed original oath or declaration pursuant to 37 CFR 1.63 will be submitted after notification by the U.S. Patent and Trademark Office pursuant to 37 CFR 1.53(d).

An unexecuted copy of the Declaration and Power of Attorney, containing the required inventorship information, is attached herewith. It is respectfully requested that all communications be directed to the firm indicated on the attached Declaration and Power of Attorney, namely:

WENDEROTH, LIND & PONACK, L.L.P.  
2033 K Street, N.W., Suite 800  
Washington, D.C. 20006

The required \$776.00 U.S. Patent and Trademark Office filing  
fee is attached herewith.

Respectfully submitted,  
Susan Adele GREENFIELD et al.

By: Warren M. Cheek Jr.  
Warren M. Cheek Jr.  
Registration No. 33,367  
Attorney for Applicants

WMC/dlk  
Washington, D.C. 20006  
Telephone No. (202) 721-8200  
September 21, 1998

PTO/PCT Received 23 OCT 1998

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Susan Adele GREENFIELD et al.

Serial No. 09/155,076

Filed September 21, 1998

PEPTIDE FROM SOLUBLE FORM OF  
ACETYLCHOLINESTERASE, ACTIVE AS  
A CALCIUM CHANNEL MODULATOR

:  
ATTN: BOX MISSING PART

:  
Attorney Docket No.  
263/PPIR2548US

SUBMISSION OF EXECUTED DECLARATION

Assistant Commissioner for Patents,  
Washington, D.C. 20231

Sir:

Enclosed herewith is an executed Declaration for the above-identified application which was originally filed without an executed Declaration.

The Declaration identifies the application by the Title, name of the inventors, and filing date of the application.

Accordingly, it is submitted that the present application now complies with the requirements of 37 CFR 1.53(d).

Enclosed herewith is the \$65.00 surcharge required by 37 CFR 1.16(e). Also enclosed is the required copy of the Notice to file Missing Parts of Application.

Early issuance of a filing receipt is therefore requested.

Respectfully submitted,

Susan Adele GREENFIELD et al.

By: Warren M. Cheek, Jr.  
Registration No. 33,367  
Attorney for Applicants

WMC/dlk  
Washington, D.C.  
Telephone No. (202) 721-8200  
October 23, 1998